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OCT 28 2002  
TECH CENTER 1630/2900



ATTORNEY DOCKET NO. DASI.002.03US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jean-Michael Scherrmann *et al* ) Examiner: Not yet assigned  
Serial No.: 10/045,971 ) Art Unit: Not yet assigned  
Filed: January 9, 2002 )  
For: Cocaethylene immunogens and antibodies ) TRANSMITTAL  
 ) SECOND INFORMATION  
 ) DISCLOSURE STATEMENT

**BOX DD**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- ☒ A Second Information Disclosure Statement.
- ☒ A Form PTO-1449.
- ☒ Copies of references A7-A18 as listed on the Form PTO-1449.
- ☒ Return postcard (postage prepaid).

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EY 204626158US

Date of Deposit: October 22, 2002

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to BOX DD, Commissioner for Patents, Washington, D.C. 20231

K. Patchen  
(Signature)

Karen Patchen  
(Printed Name)

**PATENT**

**ATTORNEY DOCKET NO. DASI.002.03US**

1. ☒ This Information Disclosure Statement is filed before the later of:
  - ☐ three months from the filing date of this national application;
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
  - ☒ the mailing date of a first office action on the merits.

☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
  - (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.

☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:

  - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
  - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
  - (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.

☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:

  - (1) A certification, as stated below;
  - (2) A Petition requesting consideration of the Information Disclosure Statement; and
  - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

**TOTAL FEES: \$ 0**

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

PATENT

ATTORNEY DOCKET NO. DASL002.03US

Respectfully submitted,

Dated: October 22, 2012



Barbara Rae-Venter, Ph.D.  
Reg. No. 32,750

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Facsimile: (831) 242-0137

BRV/MA/kp

The following has been received in the U.S. Patent Office on the date stamped hereon:

<input type="checkbox"/> Patent Application, ____ pps. specification, ____ pps claims, ____ pps abstract	<input type="checkbox"/> Executed/unexecuted Declaration/POA
<input type="checkbox"/> Drawings: ____ sheets of informal / formal	<input type="checkbox"/> Assignment
<input type="checkbox"/> Application Transmittal	<input type="checkbox"/> Verified Small Entity Statement
<input checked="" type="checkbox"/> Return receipt postcard	<input type="checkbox"/> Rule 132 Declaration
<input type="checkbox"/> Response / Amendment	<input type="checkbox"/> 312 Amendment after Allowance
<input type="checkbox"/> Issue Fee Transmittal	<input type="checkbox"/> Notice to File Missing Parts of App.
<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Appeal Brief(3 copies) ____ pages	<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> PTO Box# <u>DD</u>	<input type="checkbox"/> Certificate of Correction
<input checked="" type="checkbox"/> Express Mail Label <u>EV204626158US</u>	<input checked="" type="checkbox"/> IDS Transmittal, <sup>second</sup> IDS Statement
<input checked="" type="checkbox"/> Other <u>Duplicate Copy of Transmittal</u>	PTO-1449 [ No. of References <u>A 7-A18</u> ]
	<input type="checkbox"/> Status Inquiry
	<input type="checkbox"/> Check No. ____ in amount of \$ ____

Serial No.: 10/045,971 Attorney Docket No.: DASI 00203US <sup>BM</sup>  
Attorney/Secretary: MA Mailing Date: October 22, 2002



ATTORNEY DOCKET NO. DASI.002.03US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

OCT 28 2002

RECEIVED

In re application of: Jean-Michael Scherrman *et al* ) Examiner: Not yet assigned  
Serial No.: 10/045,971 ) Art Unit: Not yet assigned  
Filed: January 9, 2002 ) SECOND INFORMATION  
For: Cocaethylene immunogens and antibodies ) DISCLOSURE STATEMENT

**BOX DD**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EV204620158 US

Date of Deposit: October 29 2002

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to BOX DD, Commissioner for Patents, Washington, D.C. 20231.

K Patchen  
(Signature)

Karen Patchen  
(Printed Name)

PATENT

ATTORNEY DOCKET NO. DASI.002.03US

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: October 22, 2002



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BRV/MA



DOCKET NO. DASI.002.03US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S SECOND INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 1RECEIVED  
OCT 28 2002  
TECH CENTER 1600/2900In re the application of: Jean-Michael Scherrmann, *et al.* ]

Art Unit: Not yet assigned

Serial No. 10/045,971 ]

Examiner: Not yet assigned

Filed: January 9, 2002 ]

## U.S. PATENT DOCUMENTS

Ref.	Examiner's	Document			Class/	Filing
Desig.	Initials	Number	Date	Name	Subclass	Date
A7		5,840,588	11/24/98	Strahilevitz	436/518	06/05/95
A8		5,677,132	10/14/97	Strahilevitz	435/7.1	06/05/95
A9		5,643,732	07/01/97	Strahilevitz	435/7.1	06/05/95
A10		5,037,645	08/06/91	Strahilevitz	424/85.8	05/01/89
A11		4,834,973	05/30/89	Strahilevitz	424/85.8	10/30/86
A12		4,813,924	03/21/89	Strahilevitz	604/5	03/08/85
A13		4,620,977	11/04/86	Strahilevitz	424/88	11/09/81
A14		4,375,414	03/01/83	Strahilevitz	210/638	01/21/77
A15		5,876,727	03/02/99	Swain et al.	424/193.1	09/30/96
A16		5,840,307	11/24/98	Swain et al.	424/193.1	06/01/95
A17		5,474,772	12/12/95	Maddock	424/140.1	08/02/90
A18		5,302,703	04/12/94	Buechler et al.	530/404	03/17/93

## PENDING U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.